

## Agenda

**Meeting: Executive**

**Venue: The Grand Meeting Room, No. 1  
Racecourse Lane, Northallerton DL7  
8QZ**

**Date: Tuesday, 4 February 2020 at 11.00 am**

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### Business

1. **Minutes of the meeting held on 14 January 2020** **(Page 9 to 12)**
2. **Declarations of Interest**
3. **Exclusion of the public from the meeting during consideration of each of the items of business listed in Column 1 of the following table on the grounds that they each involve the likely disclosure of exempt information as defined in the paragraph(s) specified in column 2 of Part 1 of Schedule 12A to the Local Government Act 1972 as amended by the Local Government (Access to information)(Variation) Order 2006:-**

Item number on the agenda	Paragraph Number
10	3

#### 4. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have given notice to Melanie Carr of Democratic and Scrutiny Services and supplied the text (*contact details below*) by midday on 30 January 2020, three working days before the day of the meeting. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct anyone who may be taking a recording to cease while you speak.

#### 5. Area Constituency Committee Feedback (Page 13 to 18)

- Selby & Ainsty Area Constituency Committee on 6 January 2020
- Harrogate & Knaresborough Area Constituency Committee on 9 January 2019
- Thirsk & Malton Area Constituency Committee on 10 January 2020
- Skipton & Ripon Area Constituency Committee on 13 January 2020
- Sarborough & Whitby Area Constituency Committee on 15 January 2020

Recommendation:

That the Executive notes the report and considers any matters arising from the work of the Area Constituency Committees listed above, that merits further scrutiny, review or investigation at a county-level.

#### 6. Budget 2020/21 and the Medium Term Financial Strategy (MTFS) - Report of the Corporate Director - Strategic Resources (Page 19 to 270)

##### 6a. Medium Term Financial Strategy 2020/21 to 2023/24 & Revenue Budget for 2020/21

Recommendations – That:

i. The Executive recommends to the County Council:

- a) That the Section 25 assurance statement provided by the Corporate Director, Strategic Resources regarding the robustness of the estimates and the adequacy of the reserves (**paragraph 8.15**) and the risk assessment of the MTFS detailed in **Section 9** are noted.
- b) That, in accordance with Section 42A of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011), a Council Tax requirement for 2020/21 of £321,725,322 is approved and that a Council Tax precept of this sum be issued to billing authorities in North Yorkshire (**Section 3.3** and **Appendix C**).
- c) That, in accordance with Section 42B of the Local Government Finance Act 1992 (as amended by Section 75 of The Localism Act 2011) a basic amount (Band D equivalent) of Council Tax of £1,363.47 is approved (**paragraph 3.3.6** and **Appendix C**).

- d) That a Net Revenue Budget for 2020/21, after use of reserves, of £389,489k (**Section 4.0** and **Appendix F**) is approved and that the financial allocations to each Directorate, net of planned savings, be as detailed in **Appendix B**.
- e) That in the event that the final Local Government Settlement results in a variance of less than £5m in 2020/21 then the difference to be addressed by a transfer to / from the Strategic Capacity Unallocated Reserve in line with **paragraph 3.2.8** with such changes being made to **Appendix D** as appropriate.
- f) That the Corporate Director – Children and Young People’s Service is authorised, in consultation with the Corporate Director, Strategic Resources and the Executive Members for Schools and Finance, to take the final decision on the allocation of the Schools Budget including High Needs, Early Years and the Central Schools Services Block (**paragraph 3.4.12**).
- g) That the Fees and Charges Strategy set out at **Appendix M** be approved and adopted with effect from 1 April 2020 (**para 3.5.6**).
- h) That a one-off sum of £1m is provided in 2020/21 to work up potential solutions which will help to advocate for capital funding for the Council and its schools as set out in **paragraph 3.9.2**.
- i) That a recurring sum of £3m is provided as an annual refresh sum for the Council’s IT capability in line with **paragraph 3.9.3** and that such funding is released subject to satisfactory business cases resulting in the approval of the Corporate Director of Strategic Resources in consultation with the Executive Member for IT and the Executive Member for Finance.
- j) That a one-off sum of £2m is provided in 2020/21 to fund potential future redundancy costs as set out in **paragraph 3.9.5**. k) That a recurring sum of £5.1m be provided in 2020/21 followed by a further recurring sum of £5.1m in 2021/22 in order to address sustainability issues in the care sector in line with **paragraph 3.9.6**.
- l) That the Medium Term Financial Strategy for 2020/21 to 2023/24, and its caveats, as laid out in **Section 3.0** and **Appendix F** is approved.
- m) That the Corporate Director – Business & Environmental Services is authorised, in consultation with the Executive Members for BES, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (BES 1 to 6)**.
- n) That the Corporate Director – Health and Adult Services is authorised, in consultation with the Executive Members for HAS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (HAS 1 to 12)**.
- o) That the Corporate Director – Children and Young People’s Services is authorised, in consultation with the Executive Members for CYPS, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (CYPS 1 to 11)**.
- p) That the Chief Executive is authorised, in consultation with the Executive Members for Central Services, to carry out all necessary actions, including consultation where he considers it appropriate, to implement the range of savings as set out in **Appendix A1 (CS 1 to 10)**.
- q) That any outcomes requiring changes following **Recommendations m), n), o) and p)** above be brought back to the Executive to consider and, where changes are recommended to the existing major policy framework, then such matters to be considered by full County Council.
- r) That the existing policy target for the minimum level of the General Working Balance is retained at £27m in line with **paragraphs 3.6.4 to 3.6.5** and **Appendix E**.

- s) That the attached pay policy statement (**Appendix H**) covering the period 1 April 2020 to 31 March 2021 be approved as set out in **Section 6**.
- ii. That the Executive notes and agrees the delegation arrangements referred to in **Section 10** that authorise the Corporate Directors to implement the Budget proposals contained in this report for their respective service areas and for the Chief Executive in those areas where there are cross-Council proposals.
- iii. That the Executive have regard to the Public Sector Equality Duty (identified in **Section 7** and **Appendix I**) in approving the Budget proposals contained in this report.

#### **6b. Capital Five Year Spending Plan**

Recommendations - That the Executive:

- a) Approve the refreshed Capital Plan summarised at **paragraph 3.4**;
- b) Agree that no action be taken at this stage to allocate any additional capital resources (**paragraph 6.7**); and
- c) Authorise the Assistant Chief Executive Legal and Democratic Services to issue the certificate under the Local Government (Contracts) Act 1997 to confirm the County Council's powers to enter into the Deed of Variation in respect of the proposed expansion of Barlby Community Primary School and that an indemnity be given by the County Council to the Assistant Chief Executive Legal and Democratic Services, against any claim that may arise out of or in connection with the issue of the certificate under the Local Government (Contracts) Act 1997 as outlined at **paragraph 3.12**.

#### **6c. Treasury Management**

Recommendations - That the Executive recommend to the County Council:

- a) The Treasury Management Strategy **Annex 1**, including:
- b) Capital Prudential Indicators (**Appendix A**), Borrowing Strategy and Treasury Prudential Indicators (**Appendix B**) and Annual Investment Strategy 2020/21 (**Appendix C**), and in particular:
  - i. an authorised limit for external debt of £576m in 2020/21;
  - ii. an operational boundary for external debt of £556m in 2020/21;
  - iii. the Prudential and Treasury Indicators for 2020/21 to 2022/23;
  - iv. a limit of £40m of the total cash sums available for investment (both in house and externally managed) to be invested in Non-Specified Investments over 365 days;
  - v. a 10% cap on capital financing costs as a proportion of the annual Net Revenue Budget;
  - vi. a Minimum Revenue Provision (MRP) policy for debt repayment to be charged to Revenue in 2020/21;
  - vii. the Corporate Director – Strategic Resources to report to the County Council if and when necessary during the year on any changes to this Strategy arising from the use of operational leasing, PFI or other innovative methods of funding not previously approved by the County Council;
- c) The Capital Strategy as attached as **Appendix D**;

#### **7. Council Plan 2020-2024 - Report of the Assistant Director, Policy, Partnerships and Communities**

**(Page 271 to 300)**

Recommendations: That the Executive

- i) Approves the draft Council Plan and recommends it to the County Council for approval at its meeting on 19 February 2020, and
- ii) Recommends that the County Council authorise the Chief Executive to make any necessary changes to the text, including reflecting decisions made by the County Council on the budget, Medium Term Financial Strategy and updated performance data.

**8. Admission Arrangements 2021/2022 - Report of the Corporate Director - Children and Young People's Service**

**(Page 301 to 372)**

Recommendation:

That the proposed Admission Arrangements be recommended to the County Council for approval on 19 February 2020, to include:

- the proposed admission policy for community and voluntary controlled schools; and
- the proposed admission policy for nursery schools, schools with nursery classes and pre-reception classes, appendices 1 & 2.
- the proposed co-ordinated admission arrangements appendix 3
- the proposed In-Year Fair Access Protocol appendix 4
- the proposed published admission numbers (PAN's) for community and voluntary controlled schools as shown in appendices 5 (Primary) and 6 (Secondary)
- catchment area Admiral Long CE Voluntary Controlled Primary School and Ripley Endowed CE Voluntary Controlled School appendix 7
- Catchment area Malton School appendix 8.

**9. Forward Work Plan**

**(Page 373 to 382)**

**10. Harrogate Rail Line Enhancement Scheme - Report of the Corporate Director – Business & Environmental Services**

**(Page 383 to 388)**

Recommendations: That the Executive:

- (a) Note the continuing development of the Harrogate line rail scheme and;
- (b) Delegate authority to the Corporate Director Business and Environmental Services to continue development of the project to completion by entering agreement with Network Rail subject to satisfactory contractual terms and conditions.

**11. Other business which the Leader agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan  
Assistant Chief Executive  
(Legal and Democratic Services)

County Hall  
Northallerton

Date: 27 January 2020

Notes:

## **Emergency Procedures for Meetings**

### **Fire**

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Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

### **Accident or Illness**

First Aid treatment can be obtained by telephoning Extension 7575.

## Executive Members

Name	Electoral Division	Areas of Responsibility
LES, Carl	Richmondshire Catterick Bridge	<b>Leader of the Council</b>  Communications, safer communities and emergency planning
DADD, Gareth	Hambleton Thirsk	<b>Deputy Leader of the Council</b>  Finance and Assets and Special Projects inc finance and HR performance management
CHANCE, David	Whitby/Mayfield cum Mulgrave	Stronger Communities - inc Legal and Democratic Services, Corporate Development, Overview and Scrutiny Committees, Area Committees, performance management
DICKINSON, Caroline	Northallerton	Public Health, Prevention and Supported Housing - inc STP issues regarding the Friarage and Darlington Hospitals
HARRISON, Michael	Lower Nidderdale and Bishop Monkton	Health and Adult Services - inc Health and Wellbeing Board, health integration and Extra Care
LEE, Andrew	Cawood and Saxton	Open to Business - inc growth, economic development, planning, waste management, trading standards and business relations
MACKENZIE, Don	Harrogate Saltergate	Access - inc highways, road and rail transport, broadband and mobile phones; and to act as the Council's Digital Infrastructure Champion
MULLIGAN, Patrick	Airedale	Education and Skills - inc early years, schools, apprenticeships, FE colleges and UTC's and engagement with the skills part of the LEP
SANDERSON, Janet	Thornton Dale and the Wold	Children and Young People's Services with responsibility for foster and adoption, children's social care and prevention
WHITE, Greg	Pickering	Customer Engagement inc Contact Centre, web site, libraries, digital and performance management (complaints and compliments)

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